

TEMPLATE

February 2022

**[Borrower name¹/Project Implementing Entity]
[Project Title and P Number]**

**[Draft/Negotiated²/Updated]
ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

[Date]

¹ The name of the Borrower or Recipient should always be included on the cover page.

² Once the draft ESCP is disclosed (before appraisal), the version that will be part of the negotiations package can be titled “for negotiations”. Once the ESCP is agreed at negotiations, the reference must be changed to “negotiated” and dated the day of negotiations. If the ESCP is updated during implementation, then indicate it has been “updated” and revise the date. ESCPs should always be dated and properly labelled.

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

[The below paragraphs contain general undertakings about the ESCP that are standard. They are to be used verbatim across all projects. Any adjustment should be minor and drafted in consultation with the project lawyer and LEGEN.]

1. The [Borrower/Recipient name] (the [Borrower/Recipient]) [will implement][is implementing]³ the [name] Project (the Project), with the involvement of the [name of the Project Implementing Entity(ies)/ministries/agencies involved], as set out in the [Loan Agreement] [Financing Agreement] [Grant Agreement] [and the Project Agreement].⁴ The [International Bank for Reconstruction and Development/International Development Association] (the [World Bank/Bank/ Association])⁵ [, acting as the] [administrator/implementing agency/accredited entity/implementing entity/other] [of] [name the trust fund], has agreed to provide [the original] financing [(P_____)] [and additional financing (P_____)] for the Project, as set out in the referred agreement(s). [This ESCP supersedes previous versions of the ESCP for the Project and shall apply both to the original and the additional financing for Project referred to above.]^{6 7}
2. The [Borrower/Recipient] shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the [World Bank/Bank/Association]. The ESCP is a part of the [Loan Agreement] [Financing Agreement] [Grant Agreement] [and the Project Agreement]. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement(s).
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the [Borrower/Recipient] shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance

³ You can use this bracketed text in cases where the ESCP is updated during Project implementation or in cases where additional financing is being processed for a Project under implementation and the ESCP will cover both the original loan/credit/grant and the additional financing.

⁴ Use “Financing Agreement” for IDA financing. Use “Loan Agreement” for IBRD financing. Use “Grant Agreement” for TF financing. Add a reference to “Project Agreement” where there is one. Consult with the Project Lawyer for correct references.

⁵ In case the project is financed by multiple financing sources (e.g. both an IBRD loan or IDA credit/grant and a TF grant), reference should be made to IBRD/IDA in all of these capacities, with a term like “World Bank” or the “Bank” used to refer to all of them collectively.

⁶ Use this bracketed text when the same, consolidated, and updated ESCP will apply both to the original and additional financing for the project.

⁷ In cases of additional financing where two different ESCPs will apply to the original and additional financing, you can replace paragraph 1 with the following:

The [Borrower/Recipient name] (the [Borrower/Recipient]) will implement additional activities under the [name] Project (the Project), with the involvement of the [name of the Project Implementing Entity(ies)/ministries/agencies involved], as set out in the [additional financing] [Loan Agreement] [Financing Agreement] [Grant Agreement] [and the Project Agreement]. The [International Bank for Reconstruction and Development/International Development Association] (the [World Bank/Bank/ Association]) [, acting as the] [administrator/implementing agency/accredited entity/implementing entity/other] [of] [name the trust fund], has agreed to provide additional financing for the implementation of the additional activities under the Project, as set out in the referred agreement(s). This ESCP shall apply solely to the additional activities under the Project referred to above, and the ESCP for the original financing of the Project, shall continue to apply to the original activities under the Project.

management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the [World Bank/Bank/Association]. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the [World Bank/Bank/Association].

4. As agreed by the [World Bank/Bank/Association] and the [Borrower/Recipient], this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the [Borrower/Recipient] [through] [name of the Project Implementing Entity, ministry or agency] and the [World Bank/Bank/Association] agree to update the ESCP to reflect these changes through an exchange of letters signed between the [World Bank/Bank/Association] and the [Borrower/Recipient] [name position of designated official, e.g. minister, director] of [name of the Project Implementing Entity, ministry or agency]. The [Borrower/Recipient] shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS [The actions listed below are illustrative (unless otherwise explicitly specified with a “NOTE”) – delete or adjust them, or add new actions as needed].		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING [Environmental, social, health, and safety (ESHS) performance needs to be monitored and reported to the World Bank.]</p> <p>Prepare and submit to the [World Bank/Bank/Association] regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s) [specify other aspects that the reporting would need to consider, as relevant].</p> <p>[NOTE: You can use the action above as written and fill out the bracketed language only unless the specifics of the project require changes to the language of the action or additional actions].</p>	<p>[Indicate frequency of reporting, e.g., Submit [monthly] [quarterly] [six-monthly] [annual] reports to the [World Bank/Bank/Association] throughout Project implementation, [commencing after the Effective Date]. Submit each report to the [World Bank/Bank/Association] no later than [XX] days after the end of each reporting period].</p>	
B	<p>INCIDENTS AND ACCIDENTS [notification of incidents and accidents is an important requirement of ESS1].</p> <p>Promptly notify the [World Bank/Bank/Association] of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury [specify other examples of incidents and accidents, as appropriate for the type of operation]. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the [World Bank/Bank/Association]’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p> <p>[NOTE: You can use the action above as written and fill out the bracketed language only unless the specifics of the project require changes to the language of the action or additional actions].</p>	<p>[Notify the [World Bank/Bank/Association] no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the [World Bank/Bank/Association] within a timeframe acceptable to the [World Bank/Bank/Association]</p>	

MATERIAL MEASURES AND ACTIONS [The actions listed below are illustrative (unless otherwise explicitly specified with a “NOTE”) – delete or adjust them, or add new actions as needed].		TIMEFRAME	RESPONSIBLE ENTITY
C	<p>CONTRACTORS’ MONTHLY REPORTS [In contracts for works using the Bank’s standard procurement documents (SPDs), contractors and supervising firms are required to provide monthly monitoring reports. Consider including an action indicating that such monthly reports would be submitted to the Bank. See example below.]</p> <p>Require contractors and supervising firms to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts, and submit such reports to the [World Bank/Bank/Association].</p>	<p>[Indicate timeframe, e.g., Submit the monthly reports to the [World Bank/Bank/Association] [upon request] [as annexes to the reports to be submitted under action A above].</p>	
D	<p>NOTIFICATIONS RELATING TO DAAB COMPLIANCE REVIEW OF CONTRACTOR COMPLIANCE WITH SEA/SH PREVENTION AND RESPONSE OBLIGATIONS [This action is required for projects where use of the Bank’s applicable standard procurement documents (SPDs) for large works is mandated by the Bank and where there is High Risk of sexual exploitation and abuse (SEA)/sexual harassment (SH) in accordance with the Bank’s SEA/SH risk screening tool. In these cases, the action below shall be used without introducing changes.]</p> <p>Notify the [World Bank/Bank/Association] of any referral submitted to the Dispute Avoidance and Adjudication Board (DAAB) to initiate a process of compliance review in relation to a contractor’s obligations to prevent and respond to sexual exploitation and abuse (SEA), and/or sexual harassment (SH) specified in the respective works contract with such contractor; and, in the event of any such referral, notify the [World Bank/Bank/Association] of: (i) the DAAB’s decision on such referral; (ii) the contractor’s Notice of Dissatisfaction, if any, with such DAAB decision; (iii) any notification received on the commencement of an emergency arbitration proceeding or full arbitration proceeding in relation to the DAAB’s decision; and (iv) the resulting emergency arbitration order and/or full arbitration order, if any.</p> <p>[NOTE: Use the action above as written without introducing changes].</p>	<p>No later than 7 days after the issuance or receipt, as applicable, of the relevant document (i.e., referral to the DAAB, issuance of DAAB decision, Notice of Dissatisfaction, notice of commencement of emergency/full arbitration, emergency/full arbitration order, as applicable).</p>	
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			

MATERIAL MEASURES AND ACTIONS [The actions listed below are illustrative (unless otherwise explicitly specified with a “NOTE”) – delete or adjust them, or add new actions as needed].	TIMEFRAME	RESPONSIBLE ENTITY
<p>1.1 ORGANIZATIONAL STRUCTURE [Specify whether additional staff need to be assigned/hired to work on the Project.]</p> <p>[Establish and] maintain a [name of unit within the entity implementing the project that is tasked with ESHS management, e.g. PIU, PMU, PCU] with qualified staff and resources to support management of ESHS risks and impacts of the Project [including] [identify specific positions for ESHS management that are relevant, if any, e.g., a health and safety specialist, an environmental specialist, a social specialist, a stakeholder engagement specialist].</p> <p>[NOTE: You can use the action above as written and fill out the bracketed language only unless the specifics of the project require changes to the language of the action or additional actions].</p>	<p>[Specify by when organizational structure/staff need to be in place e.g. Establish and maintain a [PIU, PMU, PCU] as set out in the [name legal agreement]. [if some specific positions will be hired or engaged in a different timeframe, specify separately e.g, [Hire or appoint the [identify specific positions needed] [prior to] [no later than] [insert date or milestone], and thereafter maintain these positions throughout Project implementation.]</p>	
<p>1.2 ENVIRONMENTAL AND SOCIAL INSTRUMENTS [Specify any E&S instruments developed or to be developed under ESS1, such as ESIA, ESMF, ESMPs, etc. See a few examples of actions below.]</p> <p>1. Adopt and implement an Environmental and Social Impact Assessment (ESIA), and corresponding Environmental and Social Management Plan (ESMP) [you can specify infrastructure/works or part of the Project for which the ESIA/ESMP is required, e.g., for Part 1] [of][for] the Project, consistent with the relevant ESSs.</p> <p>2. Adopt and implement an Environmental and Social Management Framework (ESMF) for the Project, consistent with the relevant ESSs.</p>	<p>[Indicate timing for instruments preparation.⁸ See examples below].</p> <p>1. Adopt the ESIA and ESMP [prior to] [no later than] [insert date or milestone], and thereafter implement the ESIA and ESMP throughout Project implementation.</p> <p>2. Adopt the ESMF [prior to] [no later than] [insert date or milestone], and thereafter implement the ESMF throughout Project implementation.</p>	

⁸ Consult with the project lawyer to ensure consistency with the legal agreement in cases where some actions need to be completed before the project becomes effective (effectiveness condition) or before certain disbursements can occur (disbursement condition).

MATERIAL MEASURES AND ACTIONS [The actions listed below are illustrative (unless otherwise explicitly specified with a “NOTE”) – delete or adjust them, or add new actions as needed].	TIMEFRAME	RESPONSIBLE ENTITY
<p>3. [cause] [name entities that would need to adopt the ESMPs if applicable., e.g. subproject entities] [to] adopt and implement the [subproject] [site-specific] [Environmental and Social Impact Assessment (ESIA)] [and] [Environmental and Social Management Plan (ESMP)], as set out in the ESMF. [The proposed [subprojects] [activities] described in the exclusion list set out in the ESMF shall be ineligible to receive financing under the Project.]</p>	<p>3. Adopt the ESMP [before launching the bidding process for the respective [subproject] [Project activity]] [prior to the carrying out of [subproject] [Project activity] that requires the adoption of such ESMP]. Once adopted, implement the respective ESMP throughout Project implementation.</p>	
<p>1.3 MANAGEMENT OF CONTRACTORS [Consider an action for projects that involve contractors/subcontractors to carry out physical works, and supervising firms to supervise works. See example below].</p> <p>Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant E&S instruments, the Labor Management Procedures, and code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	<p>[Indicate timing: e.g., As part of the preparation of procurement documents and respective contracts. Supervise contractors throughout Project implementation].</p>	
<p>1.4 TECHNICAL ASSISTANCE [Include the action below for projects that include TA activities with potential direct or indirect/downstream E&S impacts. This action could also specify the E&S instruments, if any, to be supported under the technical assistance activities].</p> <p>Ensure that the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project [, including, inter alia,] [specify the environmental and social instruments to be supported under the TA] are carried out in accordance with terms of reference acceptable to the [World Bank/Bank/Association], that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p> <p>[NOTE: You can use the action above as written and fill out the bracketed language only unless the specifics of the project require changes to the language of the action or additional actions].</p>	<p>Throughout Project implementation.</p>	

MATERIAL MEASURES AND ACTIONS [The actions listed below are illustrative (unless otherwise explicitly specified with a “NOTE”) – delete or adjust them, or add new actions as needed].	TIMEFRAME	RESPONSIBLE ENTITY
<p>1.5 CONTINGENT [EMERGENCY] [EARLY] RESPONSE FINANCING <i>[Activities carried out under Contingent Emergency Response Components also must meet ESS requirements].</i></p> <p>a) Ensure that the [insert name of CERC Manual as specified the legal agreement] includes a description of the ESHS assessment and management arrangements [including, if applicable, insert name of any CERC-ESMF/ESMF Addendum that will be included or referred to in the CERC Manual] for the implementation of [name of CERC component, e.g., CERC Part], in accordance with the ESSs.</p> <p>b) Adopt any environmental and social (E&S) instruments which may be required for activities under [name CERC component, e.g., CERC Part] of the Project, in accordance with the [insert name of CERC Manual and, if applicable, CERC-ESMF or CERC-ESMF Addendum] and the ESSs, and thereafter implement the measures and actions required under said E&S instruments, within the timeframes specified in said E&S instruments.</p> <p>[NOTE: You can use the language of this action as relevant to the design of the CERC component unless additional actions are required based on the specifics of the project. In some cases, a CERC-ESMF may be requested in a specific timeframe that is different from the CERC Manual. Coordinate with the project lawyer for consistency with the legal agreement].</p>	<p>a) The adoption of the [insert name of manual and, if applicable, other instruments, as relevant] in form and substance acceptable to the [World Bank/Bank/Association] is a withdrawal condition under Section [XX] of Schedule 2 of the [name of legal agreement] for the Project.</p> <p>b) Adopt any required E&S instrument and include it as part of the respective bidding process, if applicable, and in any case, before the carrying out of the relevant Project activities for which the E&S instrument is required. Implement the E&S instruments in accordance with their terms, throughout Project implementation.</p>	<p>[When indicating the responsible entity, remember that the designated authority for a CERC may be different from the entity responsible for the other Project components]</p>
<p>1.6 ASSOCIATED FACILITIES <i>[If Associated Facilities are identified, consider whether actions need to be reflected in the ESCP. See example action below].</i></p> <p>[Cause] [name of owner/operator of the associated facility if different from the Borrower] [to ensure] [Ensure] that the activities in the [identify associated facility] are carried out in accordance with the applicable requirements of this ESCP and the ESSs [, including, inter alia,] [specify key actions and/or instruments, e.g., the ESIA, ESMP, LMP, management of contractors, RAP, SEP, etc.].</p>	<p>[Indicate timeframe].</p>	
<p>1.7 ACTIVITIES SUBJECT TO RETROACTIVE FINANCING</p>		

MATERIAL MEASURES AND ACTIONS [The actions listed below are illustrative (unless otherwise explicitly specified with a “NOTE”) – delete or adjust them, or add new actions as needed].		TIMEFRAME	RESPONSIBLE ENTITY
	[As noted by ESS1 para. 17, a project may comprise or include existing facilities or activities. Some of these activities may be subject to retroactive financing under the project. These activities should be identified during project preparation, and due diligence must be conducted to identify any required actions to ensure that such activities meet the requirements of the ESSs. In some cases, an E&S audit may be needed, which normally would be completed during project preparation. In others, amendment to existing work contracts, or a corrective action plan may be needed. Accordingly, this action should reflect the due diligence requirements applicable to the retroactive financing and their timeframe]		
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES [LMP may have been developed or may need to be developed by the Borrower within a specified timeframe. This should be reflected in the ESCP. See example below]</p> <p>Adopt and implement the Labor Management Procedures (LMP) for the Project, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.</p>	[Indicate timing. e.g., Adopt the LMP [prior to] [no later than] [insert date or milestone], and thereafter implement the LMP throughout Project implementation].	
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS [The grievance mechanism required under ESS2 should be described in the LMP. See example below].</p> <p>Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</p>	[Indicate timing – e.g. Establish grievance mechanism prior engaging Project workers and thereafter maintain and operate it throughout Project implementation].	
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT [the relevance of ESS3 is established during the ESA process. ESS3 may require the adoption of specific measures to cover energy, water (e.g. water balance) and raw materials use, management of air pollution, hazardous and nonhazardous wastes, chemicals and hazardous materials and pesticides. Depending on the project, these measures may be set out in an E&S instrument (e.g. ESMP) already mentioned in the section under ESS1 above or as a stand-alone instrument or as a separate measure or action. See examples below].			
3.1	<p>WASTE MANAGEMENT PLAN Adopt and implement a Waste Management Plan (WMP), to manage hazardous and non-hazardous wastes, consistent with ESS3.</p>	[Indicate timing e.g., Adopt the WMP [prior to] [no later than] [insert date or milestone], and thereafter implement the WMP throughout Project implementation].	

MATERIAL MEASURES AND ACTIONS [The actions listed below are illustrative (unless otherwise explicitly specified with a “NOTE”) – delete or adjust them, or add new actions as needed].		TIMEFRAME	RESPONSIBLE ENTITY
3.2	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT Incorporate resource efficiency and pollution prevention and management measures in the ESMP to be prepared under action [XX] above.	[indicate timing e.g. Same timeframe as for the adoption and implementation of the ESMP]	
ESS 4: COMMUNITY HEALTH AND SAFETY [the relevance of ESS4 is established during the ESA process. As with other ESSs, ESS4 may require the adoption of specific measures to cover community health and safety risks, including, inter alia, on infrastructure and equipment design and safety, safety of services, traffic and road safety, community exposure to health issues, ecosystem services, management and safety of hazardous materials, emergency preparedness and response, security (including engagement of security personnel), and safety of dams. Depending on the project, these measures may be set out in an E&S instrument (e.g. ESMP) already mentioned in the section under ESS1 above or as a stand-alone instrument or as a separate measure or action. See examples below].			
4.1	TRAFFIC AND ROAD SAFETY Incorporate measures to manage traffic and road safety risks as required in the ESMP to be prepared under action [XX] above.	[Indicate timing e.g., Same timeframe as for the adoption and implementation of the ESMP].	
4.2	COMMUNITY HEALTH AND SAFETY Assess and manage specific risks and impacts to the community arising from Project activities [, including, inter alia,] [specify any areas of risks that may require emphasis, e.g., behavior of Project workers, risks of labor influx, response to emergency situations], and include mitigation measures in the ESMPs to be prepared in accordance with the ESMF.	[Indicate timing e.g., Same timeframe as for the adoption and implementation of the ESMPs].	
4.3	SEA AND SH RISKS [where there is Moderate, Substantial, or High Risk of sexual exploitation and abuse (SEA)/sexual harassment (SH) in accordance with the Bank’s SEA/SH risk screening tool, it is recommended that a SEA/SH Action Plan is prepared. See example action below]. Adopt and implement a SEA/SH Action Plan [specify if part of another instrument, e.g. as part of the ESMP], to assess and manage the risks of SEA and SH.	[Indicate timing e.g., Adopt the SEA/SH Action Plan before [prior to] [no later than] [insert date or milestone, and thereafter implement the SEA/SH Action Plan throughout Project implementation].	
4.4	SECURITY MANAGEMENT [In assessing a project’s risks and impacts, ESS1 requires the potential threats to human security through personal, communal, or interstate conflict, crime or violence to be considered. If security personnel will be engaged to safeguard project workers, sites, assets, and activities, an assessment of the risks posed by these security arrangements must be carried out, and appropriate mitigation measures implemented. Consider the example action below].	[Indicate timing e.g., Prior to engaging security personnel and thereafter implemented throughout Project implementation].	

MATERIAL MEASURES AND ACTIONS [The actions listed below are illustrative (unless otherwise explicitly specified with a “NOTE”) – delete or adjust them, or add new actions as needed].	TIMEFRAME	RESPONSIBLE ENTITY
<p>Assess and implement measures to manage the security risks of the Project, including the risks of engaging security personnel to safeguard project workers, sites, assets, and activities, [specify plans or include a reference to the instrument where such measures are reflected, as needed, e.g. as set out in the ESMP or Security Management Plan], guided by the principles of proportionality and GIIP, and by applicable law, in relation to hiring, rules of conduct, training, equipping, and monitoring of such personnel.</p>		
<p>4.5 INVOLVEMENT OF THE MILITARY [Exceptionally, a Borrower’s military may be proposed to be engaged for carrying out of project activities (See paragraph 16 of the Bank Policy: Development Cooperation and Fragility, Conflict, and Violence) or to provide project security. Consider the example actions below to manage related social risks].</p> <p>Ensure the following measures are carried out before deploying the [Borrower/Recipient]’s [name military] [in the implementation of Project activities] [for the provision of security to Project workers, sites and/or assets], consistent with the ESSs:</p> <ol style="list-style-type: none"> a. Assess and implement measures to manage the security risks of engaging the [name military] [specify plans or reference to the instrument where such measures are reflected, as needed, e.g. as set out in the ESMP, MoU, or Security Management Plan], guided by the principles of proportionality and GIIP, and by applicable law, in relation to screening, hiring, rules of conduct, training, equipping, and monitoring of such [name military]; b. Adopt and implement standards, protocols, and codes of conduct for the selection and assignment of [name military] to the Project, and screen such [name military] to verify that they have not engaged in past unlawful or abusive behavior, including sexual exploitation and abuse (SEA), sexual harassment (SH) or excessive use of force; c. Enter into a memorandum of understanding (MoU), with the [line ministry in control of military] [and] [relevant name military], setting out the arrangements for the engagement of the [name military] in the Project, including the relevant actions and measures set out in this ESCP; 	<p>[Indicate timeframe, e.g. Carry out a, b), c), and d) before deploying [name military] under the Project and implement throughout Project implementation].</p> <p>e) and f) as set out under actions 10.1 and 10.2 respectively. Notify the [World Bank/Bank/Association] after receiving the concern or grievance in the timeframe specified in action B above.</p> <p>[g) within the timeframes requested by the [World Bank/Bank/Association]].</p>	

MATERIAL MEASURES AND ACTIONS [The actions listed below are illustrative (unless otherwise explicitly specified with a “NOTE”) – delete or adjust them, or add new actions as needed].	TIMEFRAME	RESPONSIBLE ENTITY
<p>d. Provide adequate instruction and training to the [name military], prior to deployment and on a regular basis, on the use of force and appropriate conduct (including in relation to civilian-military engagement, SEA and SH, and other relevant areas) [, as set out in the [, [ESMP], [Security Management Plan], [MoU]];</p> <p>e. Ensure that the stakeholder engagement activities under the Stakeholder Engagement Plan (SEP) include communication on the involvement of [name military] in the Project;</p> <p>f. Ensure that any concerns or grievances regarding the conduct of [name military] are received, monitored, and documented (taking into account the need to protect confidentiality) by the Project’s grievance mechanism (see action 10.2 below), which shall facilitate its resolution, in accordance with ESS4 and ESS10. Notify the [World Bank/Bank/Association] after receiving the concern or grievance, as set out under action B above; and</p> <p>g. Where the [World Bank/Bank/Association] so request in writing, after consultation with the [Borrower/Recipient]: (i) promptly appoint a third- party monitor consultant, with terms of reference, qualifications and experience acceptable to the [World Bank/Bank/Association], to visit and monitor the Project area where [name military] are deployed, collect relevant data and communicate with Project stakeholders and beneficiaries; (ii) require the third-party monitor consultant to prepare and submit monitoring reports, which shall be promptly made available to and discussed with the [World Bank/Bank/Association]; and (iii) promptly take any actions, as may be requested by the [World Bank/Bank/Association] upon its review of the third-party monitor consultant reports.</p>		
<p>4.6 DAM SAFETY (FOR ANNEX A, PARA. 2. ESS4) [Annex A of ESS4 on Safety of Dams is relevant when a project supports a new dam, a dam under construction (DUC), or rehabilitation/upgrade of an existing dam, or if a project relies on a DUC or an existing dam. Dam safety measures depend on the specific circumstances of the project, as noted under paragraph 4 of Annex A of ESS4. A few example actions are provided below, which could be used or adapted depending on such circumstances. Consult with the Bank’s dam safety specialists in each case.]</p> <p>1. Establish and maintain an independent panel of experts (Panel), with terms of reference and composition acceptable to the [World Bank/Bank/Association], to, inter</p>	<p>[Indicate timing]</p>	

MATERIAL MEASURES AND ACTIONS [The actions listed below are illustrative (unless otherwise explicitly specified with a “NOTE”) – delete or adjust them, or add new actions as needed].	TIMEFRAME	RESPONSIBLE ENTITY
<p>alia, review and advise on matters related to safety and other critical aspects of the [name of the dam] dam, its appurtenant structures, the catchment area, the area surrounding the reservoir, and downstream areas, as relevant. [Implement the recommendations made by the Panel, unless otherwise agreed to in writing by the [World Bank/Bank/Association]].</p> <p>2. Engage [one or more independent dam specialists] with terms of reference acceptable to the [World Bank/Bank/Association] to, inter alia: (a) inspect and evaluate the safety status of the [name existing dam or DUC] dam, its appurtenances, and its performance history; (b) review and evaluate the owner's operation and maintenance procedures; and (c) provide a written report of findings and recommendations for any remedial work or safety related measures necessary to upgrade the [name existing dam or DUC] dam to an acceptable standard of safety.</p> <p>3. Engage experienced and competent professionals for the supervision of the design and construction of [name of the dam] dam, and [require the owner of the dam to] adopt and implement dam safety measures during the design, bid tendering, construction, operation, and maintenance of the [name of the dam] dam and associated works.</p> <p>4. Adopt and implement the following Dam Safety Plans: (i) a plan for construction supervision and quality assurance; (ii) an instrumentation plan; (iii) an operation and maintenance plan; and (iv) an emergency preparedness plan.</p> <p>5. [Engage the Panel to] carry out a safety inspection of the [name of the dam] dam at intervals of not less than once every [insert frequency] during Project implementation, [by independent experts whose terms of reference shall be acceptable to the [World Bank/Bank/Association],] the first of said safety inspections to be carried out no later than [date/milestone].</p> <p>6. Enter into an agreement with [name owner of the dam], subject to terms and conditions acceptable to the [World Bank/Bank/Association], pursuant to which [name owner of the dam] shall be required to adopt and implement the following dam safety measures, in accordance with the ESSs: [specify key measures and/or dam safety plans].</p>		
<p>4.7 DAM SAFETY (FOR ANNEX A, PARA. 5. ESS4)</p>	<p>[Indicate timing]</p>	

MATERIAL MEASURES AND ACTIONS [The actions listed below are illustrative (unless otherwise explicitly specified with a “NOTE”) – delete or adjust them, or add new actions as needed].		TIMEFRAME	RESPONSIBLE ENTITY
	<p>[For dams that do not fall under paragraph 2 of Annex A of ESS4, paragraph 5 of said Annex applies. See example action below]</p> <p>Engage qualified engineers to design dam safety measures for the [name of the dam] dam, in accordance with good international industry practice, and thereafter adopt and implement such measures.</p>		
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT [the relevance of ESS5 is established during the ESA process. If resettlement instruments need to be prepared (e.g. resettlement process frameworks, resettlement action plans, process frameworks) this should be reflected in the ESCP. See examples below]			
5.1	<p>RESETTLEMENT POLICY FRAMEWORK [In cases where a Resettlement Policy Framework will be prepared, see example action below].</p> <p>Adopt and implement a Resettlement Policy Framework (RPF) for the Project, consistent with ESS5.</p>	[Indicate timing e.g. Adopt the RPF [prior to] [no later than] [insert date or milestone], and thereafter implement the RPF throughout Project implementation.]	
5.2	<p>RESETTLEMENT PLANS [As noted in Annex 1 para. 1 of ESS5, projects may use alternative nomenclature, depending on the scope of the resettlement plan—for example, where a project involves only economic displacement, the resettlement plan may be called a “livelihood plan” or where restrictions on access to legally designated parks and protected areas are involved, the plan may take the form of a “process framework”. See example action below]</p> <p>Adopt and implement a resettlement action plan (RAP) [for each activity under the Project for which the RPF requires such RAP], [as set out in the RPF,] [and] consistent with ESS5.</p>	[Indicate timing e.g. Adopt and implement the respective RAP, including ensuring that before taking possession of the land and related assets, full compensation has been provided and [as applicable] displaced people have been resettled and moving allowances have been provided.	
5.3	<p>GRIEVANCE MECHANISM [The grievance mechanism (GM) to address resettlement related complaints should be described in the RPF, RAPs and SEP. If there is a distinctive feature as to how ESS5 related grievances will be handled, this can be specified as a separate action in the ESCP under this row].</p>		
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES [the relevance of ESS6 is established during the ESA process. As with other ESSs, ESS6 may require the adoption of specific measures that may be set out in an E&S instrument (e.g. ESMP) already mentioned in the section under ESS1 above or as a stand-alone instrument or a separate measure or action. See examples below].			

MATERIAL MEASURES AND ACTIONS [The actions listed below are illustrative (unless otherwise explicitly specified with a “NOTE”) – delete or adjust them, or add new actions as needed].		TIMEFRAME	RESPONSIBLE ENTITY
6.1	<p>BIODIVERSITY RISKS AND IMPACTS [Where significant risk and adverse impacts on biodiversity have been identified, a Biodiversity Management Plan should be developed (paragraph 9 ESS6). See example action below]</p> <p>[Adopt and implement a Biodiversity Management Plan (BMP) [specify if part of another instrument, e.g., as part of the [ESMF] [ESMP]], [in accordance with the guidelines of the ESIA prepared for the Project, and] consistent with ESS6.</p>	[Indicate timing e.g., Adopt the BMP [prior to] [no later than] [insert date or milestone], and thereafter implement the BMP throughout Project implementation.]	
<p>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES [See examples of possible actions below that can be used if determined that ESS7 is relevant, as set out in paragraph 54 of the E&S Policy and paragraphs 8-10 of ESS7].</p>			
7.1	<p>INDIGENOUS PEOPLES PLANNING FRAMEWORK [In cases where an Indigenous Peoples Planning Framework is going to be prepared, see example action below. Remember that, as per paragraph 6 of ESS7, the name of the framework may be adjusted if needed].</p> <p>Adopt and implement an Indigenous Peoples Planning Framework (IPPF) for the Project, consistent with ESS7.</p>	[Indicate timing e.g., Adopt the IPPF [prior to] [no later than] [insert date or milestone], and thereafter implement the IPPF throughout Project implementation.]	
7.2	<p>INDIGENOUS PEOPLES PLAN [An indigenous peoples plan may or may not be preceded by a framework. In some circumstances, a broader integrated community development plan could be prepared (see para. 16-17 of ESS7). See example action below].</p> <p>Adopt and implement an Indigenous Peoples Plan (IPP) [for each activity under the Project for which the IPPF requires such IPP], [as set out in the IPPF,] [and] consistent with ESS7.</p>	[Indicate timing e.g., Adopt the IPP prior to the carrying out of any activity that requires the preparation of such IPP. Once adopted, implement the respective IPP throughout Project implementation.]	
7.3	<p>GRIEVANCE MECHANISM [The grievance mechanism to address complaints submitted by indigenous peoples should be described in the IPPF, IPPs, and SEP. If there is a distinctive feature as to how ESS7 related grievances will be handled, this can be specified as a separate action in the ESCP in this row].</p>		
<p>ESS 8: CULTURAL HERITAGE [the relevance of ESS8 is established during the ESA process. As with other ESSs, ESS8 may require the adoption of specific measures that may be set out in an E&S instrument (e.g. ESMP) already mentioned in the section under ESS1 above or as a stand-alone instrument or a separate measure or action. See examples below].</p>			

MATERIAL MEASURES AND ACTIONS [The actions listed below are illustrative (unless otherwise explicitly specified with a “NOTE”) – delete or adjust them, or add new actions as needed].		TIMEFRAME	RESPONSIBLE ENTITY
8.1	<p>CULTURAL HERITAGE RISKS AND IMPACTS [Depending on the Project, it may be necessary for a Borrower to develop a Cultural Heritage Management Plan (paragraph 9 of ESS8). See example action below]</p> <p>Adopt and implement a Cultural Heritage Management Plan (CHMP) [specify if part of another instrument, e.g., as part of the [ESMF] [ESMP]], [in accordance with the guidelines of the ESIA prepared for the Project, and] consistent with ESS8.</p>	[Indicate timing e.g., Adopt the CHMP [prior to] [no later than] [insert date or milestone], and thereafter implement the CHMP throughout Project implementation.]	
8.2	<p>CHANCE FINDS Describe and implement the chance finds procedures, [specify the instrument that describes these procedures, e.g. as part of the [ESMF] [ESMP]] of the Project.</p>	[Indicate timing e.g. Describe the chance find procedures in the [ESMF] [ESMP]. Implement the procedures throughout Project implementation].	
ESS 9: FINANCIAL INTERMEDIARIES [This standard is only relevant for Projects involving Financial Intermediaries (FIs).]			
9.1	<p>ENVIRONMENTAL AND SOCIAL MANAGEMENT SYSTEM (ESMS) [ESS9 applies to all FIs that receive support from the Project, including Participating FIs. As per paragraph 13 of ESS9, the relevant aspects of ESS2 apply to FIs. See below a couple of examples actions that can be considered when FIs are involved].</p> <p>Develop, maintain, and implement an ESMS to identify, assess, manage, and monitor the environmental and social risks and impacts of [FI subprojects] [that receive support from the Project] [the Project]. The ESMS shall include, inter alia, the following elements:</p> <ul style="list-style-type: none"> • Identification of the [FI subprojects] that may receive support from the Project]. • An environmental and social policy endorsed by [name of the FI] senior management [, including] [specify any details on the E&S policy that may be relevant]. • Clearly defined procedures for the identification, assessment and management of the environmental and social risks and impacts of [FI subprojects], in accordance with ESS9, including, inter alia, stakeholder engagement and disclosure requirements [applicable to FI subprojects]. • Exclusion list with the [activities] [FI subprojects] that are not eligible for financing, • An organizational capacity and competency for implementing the ESMS with clearly defined roles and responsibilities [specify details, as needed, or cross-references to other relevant ESCP actions, e.g., as set out in actions 9.3 and 9.4 below]. • Monitoring and reporting of environmental and performance of [FI subprojects] and the effectiveness of the ESMS. 	[Indicate timing e.g. the Establish and operationalize ESMS before carrying out screening of any proposed [FI subproject]. Once established, maintain and implement the ESMS throughout Project implementation].	

MATERIAL MEASURES AND ACTIONS [The actions listed below are illustrative (unless otherwise explicitly specified with a “NOTE”) – delete or adjust them, or add new actions as needed].		TIMEFRAME	RESPONSIBLE ENTITY
	<ul style="list-style-type: none"> Incidents and accidents notification and subsequent reporting requirements [consider a cross-reference to action B above e.g. as set out in action B above]. An external communications mechanism, including measures to respond to public enquiries and concerns in a timely manner. [specify other relevant elements or characteristics as relevant]. <p>Disclose a summary of each of the elements of the ESMS through the relevant website.</p>		
9.2	<p>EXCLUSIONS</p> <p>Screen all proposed [activities] [FI subprojects] against the exclusion list set out in the [name document where the exclusions are detailed, e.g Operations Manual].</p>	Screen [FI subprojects] before determining whether they are eligible to receive support from the Project.	
9.3	<p>FI ORGANIZATIONAL CAPACITY</p> <p>Establish and maintain an organizational capacity and competency for implementing the ESMS with clearly defined roles and responsibilities [where relevant, identify specific positions/resources for E&S management that are a part of the organizational structure].</p>	[Specify date/milestone by when organizational capacity needs to be in place, including specific positions/resources].	
9.4	<p>SENIOR MANAGEMENT REPRESENTATIVE</p> <p>Designate a senior management representative to have overall accountability for environmental and social performance of [FI subprojects] that receive support from the Project.</p>	[Specify date/milestone by when the senior management representative needs to be designated].	
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</p> <p>[See example below].</p> <p>Adopt and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</p>	[Indicate timing: e.g. Adopt the SEP [prior to] [no later than] [insert date or milestone], and thereafter implement the SEP throughout Project implementation.]	
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>[All projects should have a grievance mechanism proportionate to the potential risks and impacts of the respective project, as per ESS10 para. 26-27. see an example action below, which can be adapted based on the project’s risks, including SEA/SH risks.]</p>	[Indicate timing: e.g. Establish the grievance mechanism [prior to] [no later than] [insert date or milestone], and thereafter maintain and operate the mechanism throughout Project implementation.]	

MATERIAL MEASURES AND ACTIONS [The actions listed below are illustrative (unless otherwise explicitly specified with a “NOTE”) – delete or adjust them, or add new actions as needed].		TIMEFRAME	RESPONSIBLE ENTITY
	<p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>		
CAPACITY SUPPORT			
CS1	<p>[Specify Training to be provided and targeted groups For example, training may be required for [e.g. PIU staff, stakeholders, communities, Project workers] on:</p> <ul style="list-style-type: none"> • stakeholder mapping and engagement • specific aspects of environmental and social assessment • emergency preparedness and response • community health and safety.] 		
CS2	<p>[Specify training for Project workers on occupational health and safety including on emergency prevention and preparedness and response arrangements to emergency situations.]</p>		